

ATTORNEY DOCKET NO.  
074991.0102

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Carlos F. Navarro et al.  
Filing Date: March 30, 2004  
Title: SELF-LIGATING LINGUAL ORTHODONTIC  
BRACKET

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

**Information Disclosure Statement**

Applicants respectfully requests, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present invention, or that these references qualify as prior art.

This Application is being filed after June 30, 2003. Therefore, under the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, no copy of any U.S. Patent or U.S. Patent Application Publication listed on the attached PTO-1449 form is enclosed.

Respectfully submitted,  
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Date: 3/30/04

<b>PTO-1449</b>  <b>Information Disclosure Citation in an Application</b>		Application No.		Applicant(s)			
		Docket Number		Group Art Unit		Filing Date	
		074991.0102				March 30, 2004	
<b>U.S. PATENT DOCUMENTS</b>							
		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>
	<b>A</b>						
	<b>B</b>						
	<b>C</b>						
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>
							<b>YES</b> <b>NO</b>
	<b>D</b>						
	<b>E</b>						
<b>NON-PATENT DOCUMENTS</b>							
		<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>					<b>DATE</b>
	<b>F</b>	Hatto Loidl, "Self-Ligating Lingual Brackets," DR MED DENT, Orthodontic Products, 4 pages (includes magazine cover page)					February 2004
	<b>G</b>	J.R. Smith, et al., "Twelve Key Principles of Lingual Orthodontic Therapy," white paper, Ormco Corporation, 4 pages					April 1985
	<b>H</b>	Unknown, "Lingual" brochure, Ormco Corporation, 6 pages					Undated
	<b>I</b>	John R. Smith, et al., "Keys to Success in Lingual Therapy, Part 1," Journal of Clinical Orthodontics, Volume XX No. 4, 23 (includes magazine cover page)					April 1986
	<b>J</b>	C. Moody Alexander, et al., "Lingual Orthodontics A Status Report," Journal of Clinical Orthodontics, Volume XVI, No. 4, 9 pages (includes magazine cover)					April 1982
	<b>K</b>	Craven Kurz, et al., "Part 2 Research and Development," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVI, No. 11, 6 pages					November 1982
	<b>L</b>	Robert P. Scholz, et al., "Part 3 Indirect Bonding – Laboratory and Clinical Procedures," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVI, No. 12, 8 pages					December 1982
	<b>M</b>	John C. Gorman, et al, "Part 4 Diagnosis and Treatment Planning," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII, No.1, 10 pages					January 1983
	<b>N</b>	C. Moody Alexander, et al., "Part 5 Lingual Mechanotherapy," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII, No. 2, 17 pages					February 1983
	<b>O</b>	C. Moody Alexander, et al., "Part 6, Patient and Practice Management," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII No. 4, 7 pages					April 1983
	<b>P</b>	Craven Kurz, et al., "Part 7A, Case Reports – Nonextraction, Consolidation," Lingual Orthodontics: A Status Report, Journal of Clinical Orthodontics, Volume XVII, No. 5, 12 pages					May 1983
	<b>Q</b>	Unknown, "Ovation Systems," GAC International, Inc., Catalog 11, 18 pages (includes cover page, Introduction page, Table of Contents page)					Copyright 2001
	<b>R</b>						
	<b>S</b>						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.							